

DOT



FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

Recipient ID:	1177
Recipient Name:	STATE OF ILLINOIS DEPARTMENT OF TRANSPORTATION
Project ID:	IL-86-X001-00
Budget Number:	1 - Budget Approved
Project Information:	Replace (74 )29' buses, (24 ) vans;

### Part 1: Recipient Information

Project Number:	IL-86-X001-00
Recipient ID:	1177
Recipient Name:	STATE OF ILLINOIS DEPARTMENT OF TRANSPORTATION
Address:	Div of Public/Intermodal Trans 100 W. Randolph - Suite 6-600, CHICAGO, IL 60601 5101
Telephone:	(312) 793-2111
Facsimile:	(312) 793-1251

### Union Information

No information found.

### Part 2: Project Information

Project Type:	Grant	Gross Project Cost:	\$11,458,500
Project Number:	IL-86-X001-00	Adjustment Amt:	\$0
Project Description:	Replace (74 )29' buses, (24 ) vans;	Total Eligible Cost:	\$11,458,500
Recipient Type:	State Agency	Total FTA Amt:	\$11,458,500
FTA Project Mgr:	Mary Campbell	Total State Amt:	\$0
Recipient Contact:	Gary De Leo	Total Local Amt:	\$0
New/Amendment:	None Specified	Other Federal Amt:	\$0
Amend Reason:	Initial Application	Special Cond Amt:	\$0

Fed Dom Asst. #:	20509	Special Condition:	None Specified
Sec. of Statute:	5311-3	S.C. Tgt. Date:	None Specified
State Appl. ID:	None Specified	S.C. Eff. Date:	None Specified
Start/End Date:	Jul. 01, 2009 - Jun. 30, 2012	Est. Oblig Date:	01-Jul-2009
Recvd. By State:	Jun. 04, 2009	Pre-Award Authority?:	Yes
EO 12372 Rev:	YES	Fed. Debt Authority?:	No
Review Date:	None Specified	Final Budget?:	No
Planning Grant?:	NO		
Program Date (STIP/UPWP/FTA Prm Plan):	Oct. 01, 2008		
Program Page:	7		
Application Type:	Electronic		
Supp. Agreement?:	No		
Debt. Delinq. Details:			

### Urbanized Areas

UZA ID	UZA Name
170030	CHICAGO, IL-IN

### Congressional Districts

State ID	District Code	District Official
17	11	Deborah L Halvorson
17	12	Jerry F Costello
17	14	Bill Foster
17	15	Timothy V Johnson
17	16	Donald A Manzullo
17	17	Phil Hare
17	18	Aaron Schock
17	19	John Shimkus

### Project Details

To procure and distribute paratransit replacement vehicles to our current 31 section 5311 grantees in non-urbanized areas for providing public transportation services across the state, purchase and install ITS hardware and software, purchase shop equipment, and preliminary engineering for facilities.

This grant represents 54.09% of the available non urban ARRA funds for IDOT. \$11,458,500 in ARRA funds will be utilized as follows:

\$7,005,000 74 replacement buses

\$1,080,000 24 replacement vans

\$1,850,000 ADP hardware

\$ 923,500 shop equipment  
\$ 600,000 preliminary engineering

## Earmarks

### Earmark Details

Earmark ID	Earmark Name	Orig. Balance	Amount Applied
D2009-ERNF-0001	ARRA NonUrbanized Area Formu	\$765,847,961	\$11,458,500

Number of Earmarks: 1

Total Amount Applied: \$11,458,500

Date Sent for Release: 8/14/2009 1:58:53 PM

Date Released: 8/20/2009

## Security

No information found.

## Part 3: Budget

### Project Budget

	Quantity	FTA Amount	Tot. Elig. Cost
<u>SCOPE</u>			
110-00 CAPITAL - BUS	144	\$11,458,500.00	\$11,458,500.00
<u>ACTIVITY</u>			
11.42.06 ACQUIRE - SHOP EQUIPMENT	20	\$923,500.00	\$923,500.00
11.12.04 BUY REPLACEMENT <30 FT BUS	74	\$7,005,000.00	\$7,005,000.00
11.42.07 ACQUIRE - ADP HARDWARE	11	\$1,850,000.00	\$1,850,000.00
11.12.15 BUY REPLACEMENT VAN	24	\$1,080,000.00	\$1,080,000.00
11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	15	\$600,000.00	\$600,000.00
Estimated Total Eligible Cost:			\$11,458,500.00
Federal Share:			\$11,458,500.00

Local Share:	\$0.00
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### OTHER (Scopes and Activities not included in Project Budget Totals)

None

### SOURCES OF FEDERAL FINANCIAL ASSISTANCE

<u>UZA ID</u>	<u>Accounting Classification</u>	<u>FPC</u>	<u>FY</u>	<u>SEC</u>	<u>Previously Approved</u>	<u>Amendment Amount</u>	<u>Total</u>
170000	2009.26.86.81.1	00	2009	86	\$0.00	\$11,458,500.00	\$11,458,500.00
Total Previously Approved:							\$0.00
Total Amendment Amount:							\$11,458,500.00
Total from all Funding Sources:							\$11,458,500.00

### Alternative Fuel Codes

11.12.04	BUY REPLACEMENT <30 FT BUS	Diesel Fuel
11.12.15	BUY REPLACEMENT VAN	Gasoline

### Extended Budget Descriptions

<b>110-00</b>	<b>CAPITAL - BUS</b>	<b>144</b>	<b>\$11,458,500.00</b>	<b>\$11,458,500.00</b>
To procure and distribute paratransit replacement vehicles to current section 5311 grantees in non-urbanized areas for providing public transportation services across the state, purchase and install ITS hardware and software, purchase shop equipment, and preliminary engineering for facilities.				
<b>11.42.06</b>	<b>ACQUIRE - SHOP EQUIPMENT</b>	<b>20</b>	<b>\$923,500.00</b>	<b>\$923,500.00</b>
Springfield MTD RMC - Purchase and Install Paratransit Vehicle Engine Diagnostic equipment - \$20,000. Rockford MTD RMC - Purchase and Install Paratransit Vehicle Engine Diagnostic equipment; (1) portable hoist; (1) freon reclaimers - \$70,000 Danville MTD RMC - Purchase and Install Shop Equipment including (1) low profile floor jack - \$5,000 Bond County - Purchase Computer Equipment including up to (2) computers and (1) printer - \$6,000 DeKalb County - Purchase Office Equipment including up to (40) desk chairs; up to (10) desks; up to (3) conference tables - \$27,500 Galesburg, City of - Purchase and Install (4) mobil column lifts - \$50,000 Galesburg, City of - Purchase and Install up to (6) Fareboxes - \$105,000 Galesburg, City of - Purchase and Install up to (5) Bus Shelters - \$100,000 Grundy County - Purchase up to (20) Signs and up to (6) Shelters - \$35,000 Kankakee County - Purchase up to (10) Bus Radios - \$20,000 McLean County - Purchase up to (20) Bus Radios - \$40,000				

McLean County - Purchase up to (2) Computers and (1) copier - \$10,000  
 Peoria County - Purchase up to (2) Computers - \$5,000  
 Piatt County - Purchase and Install Shop and Diagnostic Equipment - \$10,000  
 Quincy, City of - Purchase and Install up to (10) Bus Shelters - \$60,000  
 Quincy, City of - Purchase and Install Shop and Diagnostic Equipment - \$20,000  
 Quincy, City of - Purchase and Install up to (15) Fareboxes - \$15,000  
 South Central MTD - Purchase and Install Shop and diagnostic Equipment including (1) vehicle lift; up to (2) tire changers;  
 up to (2) wheel balancers; up to (2) A/C reclaimers and (1) generator - \$150,000  
 South Central MTD - Purchase and Install Phone System and up to (10) Computers - \$150,000  
 Vermilion County - Purchase up to (10) radios and up to (4) Computers - \$25,000

11.12.04	BUY REPLACEMENT <30 FT BUS	74	\$7,005,000.00	\$7,005,000.00
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This grant applies the 2009 ARRA Formula allocation of \$7,005,000 to bus replacement. We will purchase approximately 74 <30ft. paratransit vehicles that have a useful life of 120,000 miles or 5 to 7yrs. in unsafe & poor operating condition. The vehicles being replaced have met their useful life. A federal ratio of 100/0 will apply. These buses will meet the Clean Air Act standards (CAA) and the American with Disabilities Act (ADA) requirements. These grant activities are a categorical exclusion under NEPA.

11.42.07	ACQUIRE - ADP HARDWARE	11	\$1,850,000.00	\$1,850,000.00
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Coles County - Purchase automated vehicle dispatching and report generating software and hardware - \$100,000  
 Freeport, City of - Purchase automated vehicle dispatching and report generating software and hardware - \$100,000  
 Henry County - Purchase automated vehicle dispatching and report generating software and hardware - \$100,000  
 Lee County - Purchase automated vehicle dispatching and report generating software and hardware - \$100,000  
 Monroe-Randolph MTD - Purchase automated vehicle dispatching and report generating software and hardware - \$100,000  
 Piatt County - Purchase automated vehicle dispatching and report generating software and hardware - \$100,000  
 Rides MTD - Purchase automated vehicle dispatching and report generating software and hardware, and up to 100 mobil data terminals (\$5,000/unit) - \$750,000  
 Shawnee MTD - Purchase automated vehicle dispatching and report generating software and hardware - \$100,000  
 Shelby County - Purchase automated vehicle dispatching and report generating software and hardware - \$100,000  
 South Central MTD - Purchase automated vehicle dispatching and report generating software and hardware - \$200,000  
 Vermilion County - Purchase automated vehicle dispatching and report generating software and hardware - \$100,000

11.12.15	BUY REPLACEMENT VAN	24	\$1,080,000.00	\$1,080,000.00
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This grant applies the 2009 ARRA Formula allocation of \$1,080,000 to bus replacement. We will purchase approximately 24 paratransit vehicles <30ft. that have an expected useful life of 120,000 miles or 5 yrs. in unsafe & poor operating condition. the vehicles being replaced have met their useful life. A federal ratio of 100/0 will apply. These buses will meet the Clean Air Act standards(CAA) and the American with Disabilities Act (ADA) requirements. These grant activities are a categorical exclusion under NEPA.

11.41.03	ENG/DESIGN - ADMIN/MAINTENANCE FACILITY	15	\$600,000.00	\$600,000.00
<p>Preliminary engineering for the following:</p> <p>DeKalb County - Existing transit facility renovation including roof replacement in Sycamore, IL.</p> <p>JoDaviess County - Existing transit facility expansion of 30 ft.; install auto vehicle wash bay in Galena, IL.</p> <p>Piatt County - Existing transit facility expansion with a 40 ft x 40ft insulated, heated addition in Monticello, IL.</p> <p>Tazewell County - Existing transit facility renovation including roof replacement in Morton, IL.</p> <p>Warren County - Existing transit facility renovation including parking lot repaving; up to (6) additional storage bays in Monmouth, IL.</p> <p>Bond County - Construct new transit administration/storage facility with vehicle wash bay in Greenville, IL.</p> <p>City of Galesburg - Expansion of Amtrak station to accommodate Intercity Bus ticket agent and waiting area in Galesburg, IL.</p> <p>Lee County - Construct new transit administration/storage facility and Intercity Bus waiting area; ticketing office in Dixon, IL.</p> <p>City of Mattoon - Construct intercity rail platform upgrades in Mattoon, IL.</p> <p>RIDES MTD - Construct new transit administration/storage facility in Marion, IL.</p> <p>Coles County - Existing transit facility expansion in Charlestown, IL.</p> <p>East Central MTD - Construct new transit administration/storage facility in Paris, IL.</p> <p>Shawnee MTD - Construct new transit administration/storage facility in Vienna, IL.</p> <p>Shelby County - Construct new transit administration/storage facility in Effingham, IL.</p>				

## Changes since the Prior Budget

Unable to find change amount information.

## Part 4. Milestones

11.42.06 ACQUIRE - SHOP EQUIPMENT 20 \$923,500 \$923,500

	Milestone Description	Est. Comp. Date
1.	RFP/IFB Issued	Sep. 01, 2009
2.	Contract Award	Oct. 31, 2009
3.	Contract Complete	Jun. 30, 2010

11.12.04 BUY REPLACEMENT <30 FT BUS 74 \$7,005,000 \$7,005,000

	Milestone Description	Est. Comp. Date
1.	RFP/IFB OUT FOR BID	Dec. 01, 2006
2.	CONTRACT AWARDED	Feb. 01, 2007
3.	FIRST VEHICLE DELIVERED	Nov. 15, 2009
4.	ALL VEHICLES DELIVERED	Oct. 15, 2010
5.	CONTRACT COMPLETE	Dec. 31, 2012

**11.42.07 ACQUIRE - ADP HARDWARE**

11

\$1,850,000

\$1,850,000

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB Issued	Sep. 01, 2009
2.	Contract Award	Dec. 31, 2009
3.	Contract Complete	Jun. 30, 2010

**11.12.15 BUY REPLACEMENT VAN**

24

\$1,080,000

\$1,080,000

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB OUT FOR BID	Jul. 01, 2009
2.	CONTRACT AWARDED	Oct. 01, 2009
3.	FIRST VEHICLE DELIVERED	Jan. 01, 2010
4.	ALL VEHICLES DELIVERED	May. 01, 2010
5.	CONTRACT COMPLETE	May. 31, 2010

**11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY**

15

\$600,000

\$600,000

	<u>Milestone Description</u>	<u>Est. Comp. Date</u>
1.	RFP/IFB Issued	Jul. 01, 2009
2.	Contract Award	Sep. 30, 2009
3.	Contract Complete	Dec. 31, 2009

**Part 5. Environmental Findings****111204 BUY REPLACEMENT <30 FT BUS**

74

\$7,005,000

\$7,005,000

**Finding No. 1 - Class II(c)****C17 - Purchase of vehicles**

The purchase of vehicles by the applicant where the use of these vehicles can be accommodated by existing facilities or by new facilities which themselves are within a CE.

**111215 BUY REPLACEMENT VAN**

24

\$1,080,000

\$1,080,000

**Finding No. 1 - Class II(c)**

**C17 - Purchase of vehicles**

The purchase of vehicles by the applicant where the use of these vehicles can be accommodated by existing facilities or by new facilities which themselves are within a CE.

**114103 ENG/DESIGN -  
ADMIN/MAINTENANCE FACILITY**

15

\$600,000

\$600,000

**Finding No. 1 - Class II(c)****C01 - Engineering/Design/Planning/Tech.Studies**

Activities which do not involve or lead directly to construction, such as planning and technical studies; projects for training and research programs; planning activities eligible for assistance listed in 23 U.S.C. 134, 135, and 307(c); planning activities related to approval of a unified work program and any findings required in the planning process pursuant to 23 C.F.R. Part 450, activities for state planning and research programs pursuant to 23 C.F.R. Part 420; engineering to define the elements of a proposed action or alternatives so that social, economic, and environmental effects can be assessed.\n\n

**114206 ACQUIRE - SHOP EQUIPMENT**

20

\$923,500

\$923,500

**Finding No. 1 - Class II(c)****C19 - Install purchase maintenance equipment**

Purchase and installation of operating or maintenance equipment to be located within the transit facility and with no significant impacts off the site.

**114207 ACQUIRE - ADP HARDWARE**

11

\$1,850,000

\$1,850,000

**Finding No. 1 - Other**

Finding Details: (C21) Deployment of electronics, photonics, communications, or information processing used singly or in combination, or as components of a fully integrated system, to improve the efficiency or safety of a surface transportation system or to enhance security or passenger convenience. Examples include, but are not limited to traffic control and detector devices, lane management counter, computer aided dispatching systems, radio communications systems, dynamic message designs, and security equipment including surveillance and detection cameras on roadways and in transit facilities and on buses.

**Part 6: Fleet Status****Fixed Route**

		<u>Before</u>	<u>Change</u>	<u>After</u>



<b>I.</b>	<b>Active Fleet</b>			
	A. Peak Requirement	0	0	0
	B. Spares	0	0	0
	C. Total (A+B)	0	0	0
	D. Spare Ratio (B/A)	0.00%	0.00%	0.00%
<b>II.</b>	<b>Inactive Fleet</b>			
	A. Other	0	0	0
	B. Pending Disposal	0	0	0
	C. Total (A+B)	0	0	0
<b>III.</b>	<b>Total (I.C and II.C)</b>	0	0	0

The Illinois Department of Transportation purchases vehicles funded under this grant on behalf of the sub-recipients listed in the Program of Projects. The Department does not own or operate directly any of these vehicles. As such the fleet status is not applicable.

### Paratransit

		<u>Before</u>	<u>Change</u>	<u>After</u>
<b>I.</b>	<b>Active Fleet</b>			
	A. Peak Requirement	0	0	0
	B. Spares	0	0	0
	C. Total (A+B)	0	0	0
	D. Spare Ratio (B/A)	0.00%	0.00%	0.00%
<b>II.</b>	<b>Inactive Fleet</b>			
	A. Other	0	0	0
	B. Pending Disposal	0	0	0
	C. Total (A+B)	0	0	0
<b>III.</b>	<b>Total (I.C and II.C)</b>	0	0	0

The Illinois Department of Transportation purchases vehicles funded under this grant on behalf of the sub-recipients listed in the Program of Projects. The Department does not own or operate directly any of the vehicles. As such the fleet status is not applicable.

## **Part 7. FTA Comments**

### General Review

Comment Title:	Budget
Comment By:	Mary J Campbell
Date Created:	Jun. 15, 2009
Date Updated:	Jul. 02, 2009
Ref Section:	Unknown

Comment:	Please attach a list of the transit systems that are receiving buses and/or facilities. Additional information including which facilities are being rehabbed as well as the renovation being done is required. Additionally, a list indicating where the buses are being replaced and the sizes and fuel types must be entered in the budget section of this app.
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Comment Title:	STIP
Comment By:	Mary J Campbell
Date Created:	Jun. 17, 2009
Date Updated:	Jun. 17, 2009
Ref Section:	Unknown
Comment:	STIP approval letter, STIP cover page, and STIP that highlights the projects in this app must be attached.

Comment Title:	New Comment
Comment By:	Mary J Campbell
Date Created:	Jun. 17, 2009
Date Updated:	None Specified
Ref Section:	Unknown
Comment:	An e-mail containing the standard language that needs to be included in the ARRA grants was sent to you on 6/12/09. Please provide that info in the appropriate places in your app.

Comment Title:	Environmental findings
Comment By:	Mary J Campbell
Date Created:	Jun. 17, 2009
Date Updated:	None Specified
Ref Section:	Unknown
Comment:	Environmental findings are needed for each line item.

Comment Title:	5311 Recipients
Comment By:	Mary J Campbell
Date Created:	Jul. 02, 2009
Date Updated:	None Specified
Ref Section:	Unknown
Comment:	In the project description, please indicate the number of recipients included in this grant.

Comment Title:	New Comment
Comment By:	Mary J Campbell
Date Created:	Jun. 17, 2009
Date Updated:	None Specified
Ref Section:	Unknown
Comment:	The budget contains two scopes, please list the activities under the correct scope.

Comment Title:	New Comment
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Comment By:	Mary J Campbell
Date Created:	Jun. 26, 2009
Date Updated:	None Specified
Ref Section:	Unknown
Comment:	The extended budget description must be provided for each line item.

### Conditions of Award

Comment Title:	New Comment
Comment By:	Mary J Campbell
Date Created:	Jul. 20, 2009
Date Updated:	None Specified
Ref Section:	Unknown
Comment:	<p>Special Provisions for Projects Financed Under the American Recovery and Reinvestment Act (Recovery Act).</p> <p>The Recipient agrees that the following provisions apply to American Recovery and Reinvestment Act of 2009 (Recovery Act) funds authorized under Pub. L. 111-5, February 17, 2009, and agrees to comply with the requirements thereof, except to the extent FTA determines otherwise in writing:</p> <p>a. Identification of Recovery Act Funding. A Grant Agreement or Cooperative Agreement financed with Recovery Act funds will indicate that the Recovery Act is the source of funding as follows:</p> <p>(1) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5307 – Urbanized Area - Economic Recovery," the Project or Projects are financed with Recovery Act funds appropriated for the Transit Capital Assistance for the Urbanized Area Formula Grant Program authorized by 49 U.S.C. § 5307.</p> <p>(2) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5307 – Urbanized Area - Economic Recovery Flex," the Project or Projects are financed with Recovery Act appropriations for highways transferred to support the FTA Urbanized Area Formula Grant Program authorized by 49 U.S.C. § 5307.</p> <p>(3) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5309 – New Starts - Economic Recovery," the Project is financed with Recovery Act appropriations for Capital Investment Grants authorized for Small Starts or New Starts by 49 U.S.C. §§ 5309(d) or (e), respectively.</p> <p>(4) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5309 – Fixed Guideway - Economic Recovery," the Project is financed with Recovery Act appropriations for Fixed Guideway Infrastructure Investment for Modernization, authorized by 49 U.S.C. 5309(b)(2).</p> <p>(5) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement "49 USC 5311 – Nonurbanized Area - Economic Recovery," the Project is financed with Recovery Act appropriations for Transit Capital Assistance for the Nonurbanized Area Formula Program authorized by 49 U.S.C. § 5311.</p> <p>(6) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5311 – Nonurbanized Area - Economic Recovery Flex," the Project or Projects are financed with Recovery Act appropriations for highways transferred to support the Nonurbanized Area Formula Grant Program authorized by 49 U.S.C. § 5311.</p> <p>(7) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement or Cooperative Agreement displays "77 – Transportation Investments for Greenhouse Gas &amp; Energy Reduction ARRA," the Project is financed with Recovery Act funds specified for capital investments that will assist in reducing the energy consumption or greenhouse gas emissions of their public transportation systems.</p> <p>b. Identification of Project(s). The Project or Projects for which Recovery Act funding is</p>

provided are set forth in the Recipient's application and reflected in the Approved Project Budget.

c. Federal Requirements. In addition to applicable Recovery Act statutory and regulatory requirements, the Recipient agrees that applicable requirements of 49 U.S.C. chapter 53 apply to federally assisted transit projects financed with Recovery Act funds and the Recovery Act funding, except that the Federal share of the costs for which any Grant is made under this heading shall be, at the option of the recipient, up to 100 percent.

d. U.S. OMB Provisions. The Recipient agrees to comply with applicable provisions of U.S. Office of Management and Budget, "Requirements for Implementing Sections 1512, 1605, and 1606 of the American Recovery and Reinvestment Act of 2009 for Financial Assistance Awards," 2 C.F.R. Part 176, 74 Fed. Reg. 18449 et seq., April 23, 2009. Specifically, the Recipient acknowledges and agrees to comply with the following provisions

(1) Reporting and Registration Requirements under Section 1512 of the Recovery Act.

(a) This award requires the recipient to complete projects or activities which are funded under the Recovery Act and to report on use of Recovery Act funds provided through this award. Information from these reports will be made available to the public.

(b) The Recipient agrees to submit the requisite reports no later than ten calendar days after each calendar quarter in which it receives the Federal assistance award funded in whole or in part by the Recovery Act.

(c) The Recipient agrees to maintain, and to require its first-tier subrecipients to maintain, current registrations in the Central Contractor Registration (<http://www.ccr.gov>) at all times during which they have active federal awards funded with Recovery Act funds. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (<http://www.dnb.com>) is one of the requirements for registration in the Central Contractor Registration.

(d) The recipient agrees to report the information described in section 1512(c) of the Recovery Act using the reporting instructions and data elements that will be provided online at <http://www.FederalReporting.gov> and ensure that any information that is pre-filled is corrected or updated as needed.

(2) Buy America Requirements under Section 1605 of the Recovery Act. Statutory provisions of 49 U.S.C. Chapter 53 impose Buy America requirements sufficient for compliance with Section 1605 of the Recovery Act

(3) Wage Rate Requirements under Section 1606 of the Recovery Act. Statutory provisions of 49 U.S.C. Chapter 53 impose Wage Rate requirements involving construction, alteration, maintenance, or repair sufficient for compliance with Section 1606 of the Recovery Act.

(4) Recovery Act Transactions Listed in Schedule of Expenditures of Federal Awards and Recipient Responsibilities for Informing Subrecipients.

(a) To maximize the transparency and accountability of funds authorized under the Recovery Act as required by Congress and in accordance with 49 C.F.R. § 18.20 or 49 C.F.R. § 19.21, as applicable, the Recipient agrees to maintain records that identify adequately the source and application of Recovery Act funds.

(b) A Recipient covered by the Single Audit Act Amendments of 1996 and OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations," agrees to separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC) required by OMB Circular A-133. The Recipient agrees to accomplish this by identifying expenditures for Federal awards made under Recovery Act separately on the SEFA, and as separate rows under Item 9 of Part III on the SF-SAC by CFDA number, and inclusion of the prefix "ARRA-" in identifying the name of the Federal program on the SEFA and as the first characters in Item 9d of Part III on the SF-SAC.

(c) The Recipient agrees to separately identify to each subrecipient, and document at the time of subaward and at the time of disbursement of funds, the Federal award number, CFDA number, and amount of Recovery Act funds. When the Recipient awards Recovery Act funds for an existing program, the Recipient agrees to furnish sufficient information to each subrecipient that distinguishes the subawards of incremental Recovery Act funds from regular subawards under the existing program.

(d) The Recipient agrees to require each subrecipient to include on its SEFA information to specifically identify Recovery Act funding similar to the requirements for the recipient SEFA described above. This information is needed to allow the Recipient to properly

	<p>monitor subrecipient expenditure of ARRA funds as well as oversight by FTA, DOT, Offices of Inspector General and the Government Accountability Office.</p> <p>e. One-Time Funding. The Recipient acknowledges that receipt of Recovery Act funds is a "one-time" disbursement that does not create any future obligation by the FTA to advance similar funding amounts.</p> <p>f. Integrity. The Recipient agrees that all data it submits to FTA in compliance with Recovery Act requirements will be accurate, objective, and of the highest integrity.</p> <p>g. Violations of Law. The Recipient agrees that it and each of its subrecipients shall report to the U.S. DOT Inspector General or other appropriate Inspector General any credible evidence that a principal, employee, agent, contractor, subrecipient, subcontractor, or other person has submitted a false claim under the False Claims Act, 31 U.S.C. §§ 3729 et seq., or has committed a criminal or civil violation of law pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving Recovery Act funds.</p> <p>h. Maintenance of Effort. A Recipient that is a State agrees to comply with the maintenance of effort certification it has made in compliance with Section 1201 of Recovery Act.</p> <p>i. Emblems. The Recipient agrees to use signs and materials that display both the American Recovery and Reinvestment Act (Recovery Act) emblem and the Transportation Investment Generating Economic Recovery (TIGER) program emblem to identify its Project(s) financed with Recovery Act funds that are provided by U.S. DOT in a manner consistent with Federal guidance, and to include this provision in any subagreements, leases, third party contracts, or other similar documents used in connection with its Recovery Act Project(s).</p> <p>j. Further Requirements. The Recipient agrees to comply with applicable future Federal requirements that may be imposed on the use of Recovery Act funds.</p>
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Comment Title:	Special Warranty
Comment By:	Eldridge Onco
Date Created:	Aug. 13, 2009
Date Updated:	None Specified
Ref Section:	Unknown
Comment:	This grant award is subject to the terms and conditions set forth in the Special Warranty Arrangement applicable to the Other Than Urbanized Area program as established by the U.S. Department of Labor at 29 C.F.R. Section 215.7, as amended. The Special Warranty Arrangement is incorporated herein by reference.

## Part 8: Results of Reviews

The reviewer did not find any errors

## Part 9: Agreement

UNITED STATES OF AMERICA  
DEPARTMENT OF TRANSPORTATION  
FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT  
(FTA G-15, October 1, 2008)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official's electronic signature is entered for this Grant Agreement, FTA has Awarded Federal assistance in support of the Project described below. Upon Execution of this Grant Agreement by the Grantee named below, the Grantee affirms this FTA Award, and enters into this Grant Agreement with FTA. The following documents are

incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(15), October 1, 2008, <http://www.fta.dot.gov/documents/15-Master.pdf>
- (2) The Certifications and Assurances applicable to the Project that the Grantee has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE GRANTEE DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING THE DATE OF THIS FTA AWARD SET FORTH HEREIN.

#### FTA AWARD

FTA hereby awards a Federal grant as follows:

Project No: IL-86-X001-00

Grantee: STATE OF ILLINOIS DEPARTMENT OF TRANSPORTATION

Citation of Statute(s) Authorizing Project: 49 USC 5311 - Nonurbanized Area - Economic Recovery

Estimated Total Eligible Cost (in U.S. Dollars): \$11,458,500

Maximum FTA Amount Awarded [Including All Amendments] (in U.S. Dollars): \$11,458,500

Amount of This FTA Award (in U.S. Dollars): \$11,458,500

Maximum Percentage(s) of FTA Participation:

Percentages of Federal participation are based on amounts included in the Approved Project Budget, modified as set forth in the text following the Project Description.

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Project Certification Date: 7/9/2009

Project Description:

Replace (74 )29' buses, (24 ) vans;

The Project Description includes information describing the Project within the Project Application submitted to FTA, and the Approved Project Budget, modified by any additional statements displayed in this Grant Agreement, and, to the extent FTA concurs, statements in other documents including Attachments entered into TEAM-Web.

Special Provisions for Projects Financed Under the American Recovery and Reinvestment Act (Recovery Act). The Recipient agrees that the following provisions apply to American Recovery and Reinvestment Act of 2009 (Recovery Act) funds authorized under Pub. L. 111-5, February 17, 2009, and agrees to comply with the requirements thereof, except to the extent FTA determines otherwise in writing:

a. Identification of Recovery Act Funding. A Grant Agreement or Cooperative Agreement financed with Recovery Act funds will indicate that the Recovery Act is the source of funding as follows:

- (1) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5307 – Urbanized Area - Economic Recovery," the Project or Projects are financed with Recovery Act funds appropriated for the Transit Capital Assistance for the Urbanized Area Formula Grant Program authorized by 49 U.S.C. § 5307.

- (2) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5307 – Urbanized Area - Economic Recovery Flex," the Project or Projects are financed with Recovery Act appropriations for highways transferred to support the FTA Urbanized Area Formula Grant Program authorized by 49 U.S.C. § 5307.

(3) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5309 – New Starts - Economic Recovery," the Project is financed with Recovery Act appropriations for Capital Investment Grants authorized for Small Starts or New Starts by 49 U.S.C. §§ 5309(d) or (e), respectively.

(4) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5309 – Fixed Guideway - Economic Recovery," the Project is financed with Recovery Act appropriations for Fixed Guideway Infrastructure Investment for Modernization, authorized by 49 U.S.C. 5309(b)(2).

(5) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement "49 USC 5311 – Nonurbanized Area - Economic Recovery," the Project is financed with Recovery Act appropriations for Transit Capital Assistance for the Nonurbanized Area Formula Program authorized by 49 U.S.C. § 5311.

(6) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement displays "49 USC 5311 – Nonurbanized Area - Economic Recovery Flex," the Project or Projects are financed with Recovery Act appropriations for highways transferred to support the Nonurbanized Area Formula Grant Program authorized by 49 U.S.C. § 5311.

(7) If the "Citation of Statute(s) Authoring Project" of the underlying Grant Agreement or Cooperative Agreement displays "77 – Transportation Investments for Greenhouse Gas & Energy Reduction ARRA," the Project is financed with Recovery Act funds specified for capital investments that will assist in reducing the energy consumption or greenhouse gas emissions of their public transportation systems.

b. Identification of Project(s). The Project or Projects for which Recovery Act funding is provided are set forth in the Recipient's application and reflected in the Approved Project Budget.

c. Federal Requirements. In addition to applicable Recovery Act statutory and regulatory requirements, the Recipient agrees that applicable requirements of 49 U.S.C. chapter 53 apply to federally assisted transit projects financed with Recovery Act funds and the Recovery Act funding, except that the Federal share of the costs for which any Grant is made under this heading shall be, at the option of the recipient, up to 100 percent.

d. U.S. OMB Provisions. The Recipient agrees to comply with applicable provisions of U.S. Office of Management and Budget, "Requirements for Implementing Sections 1512, 1605, and 1606 of the American Recovery and Reinvestment Act of 2009 for Financial Assistance Awards," 2 C.F.R. Part 176, 74 Fed. Reg. 18449 et seq., April 23, 2009. Specifically, the Recipient acknowledges and agrees to comply with the following provisions

(1) Reporting and Registration Requirements under Section 1512 of the Recovery Act.

(a) This award requires the recipient to complete projects or activities which are funded under the Recovery Act and to report on use of Recovery Act funds provided through this award. Information from these reports will be made available to the public.

(b) The Recipient agrees to submit the requisite reports no later than ten calendar days after each calendar quarter in which it receives the Federal assistance award funded in whole or in part by the Recovery Act.

(c) The Recipient agrees to maintain, and to require its first-tier subrecipients to maintain, current registrations in the Central Contractor Registration (<http://www.ccr.gov>) at all times during which they have active federal awards funded with Recovery Act funds. A Dun and Bradstreet Data Universal Numbering System (DUNS) Number (<http://www.dnb.com>) is one of the requirements for registration in the Central Contractor Registration.

(d) The recipient agrees to report the information described in section 1512(c) of the Recovery Act using the reporting instructions and data elements that will be provided online at <http://www.FederalReporting.gov> and ensure that any information that is pre-filled is corrected or updated as needed.

(2) Buy America Requirements under Section 1605 of the Recovery Act. Statutory provisions of 49 U.S.C. Chapter 53 impose Buy America requirements sufficient for compliance with Section 1605 of the Recovery Act

(3) Wage Rate Requirements under Section 1606 of the Recovery Act. Statutory provisions of 49 U.S.C. Chapter 53 impose Wage Rate requirements involving construction, alteration, maintenance, or repair sufficient for compliance with Section 1606 of the Recovery Act.

(4) Recovery Act Transactions Listed in Schedule of Expenditures of Federal Awards and Recipient Responsibilities for Informing Subrecipients.

(a) To maximize the transparency and accountability of funds authorized under the Recovery Act as required by Congress and in accordance with 49 C.F.R. § 18.20 or 49 C.F.R. § 19.21, as applicable, the Recipient agrees to maintain records that identify adequately the source and application of Recovery Act funds.

(b) A Recipient covered by the Single Audit Act Amendments of 1996 and OMB Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations," agrees to separately identify the expenditures for Federal awards under the Recovery Act on the Schedule of Expenditures of Federal Awards (SEFA) and the Data Collection Form (SF-SAC) required by OMB Circular A-133. The Recipient agrees to accomplish this by identifying expenditures for Federal awards made under Recovery Act separately on the SEFA, and as separate rows under Item 9 of Part III on the SF-SAC by CFDA number, and inclusion of the prefix "ARRA-" in identifying the name of the Federal program on the SEFA and as the first characters in Item 9d of Part III on the SF-SAC.

(c) The Recipient agrees to separately identify to each subrecipient, and document at the time of subaward and at the time of disbursement of funds, the Federal award number, CFDA number, and amount of Recovery Act funds. When the Recipient awards Recovery Act funds for an existing program, the Recipient agrees to furnish sufficient

information to each subrecipient that distinguishes the subawards of incremental Recovery Act funds from regular subawards under the existing program.

(d) The Recipient agrees to require each subrecipient to include on its SEFA information to specifically identify Recovery Act funding similar to the requirements for the recipient SEFA described above. This information is needed to allow the Recipient to properly monitor subrecipient expenditure of ARRA funds as well as oversight by FTA, DOT, Offices of Inspector General and the Government Accountability Office.

e. One-Time Funding. The Recipient acknowledges that receipt of Recovery Act funds is a "one-time" disbursement that does not create any future obligation by the FTA to advance similar funding amounts.

f. Integrity. The Recipient agrees that all data it submits to FTA in compliance with Recovery Act requirements will be accurate, objective, and of the highest integrity.

g. Violations of Law. The Recipient agrees that it and each of its subrecipients shall report to the U.S. DOT Inspector General or other appropriate Inspector General any credible evidence that a principal, employee, agent, contractor, subrecipient, subcontractor, or other person has submitted a false claim under the False Claims Act, 31 U.S.C. §§ 3729 et seq., or has committed a criminal or civil violation of law pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving Recovery Act funds.

h. Maintenance of Effort. A Recipient that is a State agrees to comply with the maintenance of effort certification it has made in compliance with Section 1201 of Recovery Act.

i. Emblems. The Recipient agrees to use signs and materials that display both the American Recovery and Reinvestment Act (Recovery Act) emblem and the Transportation Investment Generating Economic Recovery (TIGER) program emblem to identify its Project(s) financed with Recovery Act funds that are provided by U.S. DOT in a manner consistent with Federal guidance, and to include this provision in any subagreements, leases, third party contracts, or other similar documents used in connection with its Recovery Act Project(s).

j. Further Requirements. The Recipient agrees to comply with applicable future Federal requirements that may be imposed on the use of Recovery Act funds.

This grant award is subject to the terms and conditions set forth in the Special Warranty Arrangement applicable to the Other Than Urbanized Area program as established by the U.S. Department of Labor at 29 C.F.R. Section 215.7, as amended. The Special Warranty Arrangement is incorporated herein by reference.

Awarded By:  
Ms. Rhonda Reed  
Deputy Regional Administrator  
FEDERAL TRANSIT ADMINISTRATION  
U.S. DEPARTMENT OF TRANSPORTATION  
08/20/2009

#### EXECUTION OF GRANT AGREEMENT

The Grantee, by executing this Grant Agreement, affirms this FTA Award; adopts and ratifies all statements, representations, warranties, covenants, and materials it has submitted to FTA; consents to this FTA Award; and agrees to all terms and conditions set forth in this Grant Agreement.

By executing this Grant Agreement, I am simultaneously executing any Supplemental Agreement that may be required to effectuate this Grant Agreement.

Executed by:  
Mr. Joseph P Clary  
Director , Division Public and Intermoda  
STATE OF ILLINOIS DEPARTMENT OF TRANSPORTATION  
08/21/2009

### IL-86-X001-00 Quarterly Narrative Report



Apr. 01, 2009 through Jun. 30, 2009  
 As Of Aug. 21, 2009  
 MS/P Report Submitted , FSR Submitted

## Part 1: Recipient Information

Project Number:	IL-86-X001-00
Recipient ID:	1177
Recipient Name:	STATE OF ILLINOIS DEPARTMENT OF TRANSPORTATION
Address:	Div of Public/Intermodal Trans 100 W. Randolph - Suite 6-600, CHICAGO, IL 60601 5101
Telephone:	(312) 793-2111
Facsimile:	(312) 793-1251

## Part 2: Project Information

Project No:	IL-86-X001-00
Brief Desc:	Replace (74 )29' buses, (24 ) vans;
FTA Project Mgr:	Mary Campbell
Start/End Date:	Jul. 01, 2009 - Jun. 30, 2012
Gross Project Cost:	\$11,458,500
Adjustment Amt:	\$0
Total Eligible Cost:	\$11,458,500
Total FTA Amt:	\$11,458,500
Total State Amt:	\$0
Total Local Amt:	\$0
Other Federal Amt:	\$0

## Part 3: Financial Status Report

### Financial Status

	Previous	This Period	Cumulative
A. Total Outlays	\$0	\$0	\$0
B. Recipient Share of Outlays	\$0	\$0	\$0
C. Federal Share of Outlays	\$0	\$0	\$0
D. Total Unliquidated Obligations			\$11,458,500
E. Recipient Share of Unliq. Obligations			\$0
F. Federal Share of Unliq. Obligations			\$11,458,500
G. Total Federal Share (C + F)			\$11,458,500

H. Total Federal Funds			\$0
I. Unobl. Bal. of Fed. Funds ( H - G)			-\$11,458,500

**Cost Plan**

Type of Rate	N/A
Rate	0.00%
Base	\$0
Total Amount	\$0
Federal Amount	\$0

**Recipient Remarks**

There was no spending from grant IL-86-X001 during this period

**Part 4. Milestone/Progress Report****Project Status Overview**

Of the \$11,458,500 available for funding in grant IL-86-X001, no spending has been done during this period. All milestones remain on target.

	<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
11.12.04 BUY REPLACEMENT <30 FT BUS	74	\$7,005,000	\$7,005,000

	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	RFP/IFB OUT FOR BID	12/1/2006			12/1/2006	
2.	CONTRACT AWARDED	2/1/2007			2/1/2007	
3.	FIRST VEHICLE DELIVERED	11/15/2009				
4.	ALL VEHICLES DELIVERED	10/15/2010				
5.	CONTRACT COMPLETE	12/31/2012				

	<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
11.12.15 BUY REPLACEMENT VAN	24	\$1,080,000	\$1,080,000

	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	RFP/IFB OUT FOR BID	7/1/2009			7/1/2009	
2.	CONTRACT AWARDED	10/1/2009				
3.	FIRST VEHICLE DELIVERED	1/1/2010				
4.	ALL VEHICLES DELIVERED	5/1/2010				

5.	CONTRACT COMPLETE	5/31/2010				
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11.41.03 ENG/DESIGN - ADMIN/MAINTENANCE FACILITY

<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
15	\$600,000	\$600,000

	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	RFP/IFB Issued	7/1/2009			7/1/2009	
2.	Contract Award	9/30/2009				
3.	Contract Complete	12/31/2009				

11.42.06 ACQUIRE - SHOP EQUIPMENT

<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
20	\$923,500	\$923,500

	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	RFP/IFB Issued	9/1/2009				
2.	Contract Award	10/31/2009				
3.	Contract Complete	6/30/2010				

11.42.07 ACQUIRE - ADP HARDWARE

<u>Quantity</u>	<u>FTA Amount</u>	<u>Elig. Proj. Cost</u>
11	\$1,850,000	\$1,850,000

	<u>Milestone Description</u>	<u>Orig. Est. Comp. Date</u>	<u>Rev. Est. Comp. Date</u>	<u># Rev</u>	<u>Actual Comp. Date</u>	<u>Cont. Code</u>
1.	RFP/IFB Issued	9/1/2009				
2.	Contract Award	12/31/2009				
3.	Contract Complete	6/30/2010				

